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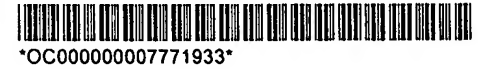
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/046,137	11/08/2001	Hosheng Tu	GLAUKO.010A

20995  
KNOBBE MARTENS OLSON & BEAR LLP  
620 NEWPORT CENTER DRIVE  
SIXTEENTH FLOOR  
NEWPORT BEACH, CA 92660



CONFIRMATION NO. 9916

FORMALITIES LETTER



\*OC000000007771933\*

Date Mailed: 04/03/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$753.
  - \$585 for 65 total claims over 20.
  - \$168 for 4 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1188.**

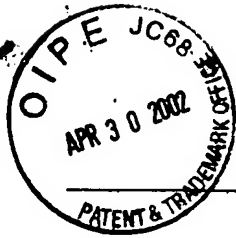
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/02/2002 BABRAHA1 00000013 10046137

01 FC:201	370.00 OP
02 FC:205	65.00 OP
03 FC:203	585.00 OP
04 FC:202	168.00 OP



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*HU* *MP*  
PATENT

Case Docket No. GLAUKO.010A

Date: April 25, 2002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Hosheng Tu, et al.  
App. No. : 10/046,137  
Filed : November 8, 2001  
For : DRUG-RELEASING  
TRABECULAR IMPLANT  
FOR GLAUCOMA  
TREATMENT  
Group Art Unit : 3738

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: United States Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202, on

April 26, 2002

(Date)

*James W. Hill*  
James W. Hill, M.D., Reg. No. 46,396

**TRANSMITTAL LETTER**

**United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202**

**ATTENTION: BOX MISSING PARTS**

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on April 3, 2002, enclosed are:

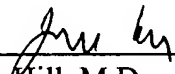
- (X) An executed Declaration by Inventors.
- (X) A Power of Attorney Form and Copy of Assignment.
- (X) The present application qualifies for small entity status under 37 C.F.R. § 1.27.
- (X) A Notice to File Missing Parts.
- (X) Return prepaid postcard.
- (X) Check for payment of fees as calculated below:

**PATENT**

Case Docket No. GLAUKO.010A  
Date: April 25, 2002

FILING FEE		\$ 1,123.00
FEE FOR EXTENSION OF TIME (SMALL ENTITY)	0 months	\$ 0
SURCHARGE 37 CFR 1.16(e)		\$ + 65.00
TOTAL OF ABOVE CALCULATIONS		\$ 1,188.00
TOTAL FEES SUBMITTED HEREWITH		\$ 1,188.00

- (X) A check in the amount of \$1,188 to cover the above fees is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.

  
\_\_\_\_\_  
James W. Hill, M.D.  
Registration No. 46,396  
Attorney of Record